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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.

P1551USA

First Inventor or Application Identifier

KAPLAN

Title

A METHOD AND SYSTEM FOR SECURE WIRELESS DATABASE  
MANAGEMENT

Express Mail Label No

EL289782828US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 23]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath or Declaration [Total Pages 3]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: a check in the amount of \$427.00 and a cert. of  
mailing

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information: Examiner \_\_\_\_\_

of prior application No. \_\_\_\_\_ /

Group / Art Unit \_\_\_\_\_

For **CONTINUATION** or **DIVISIONAL** APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer Number or Bar Code Label here)

or ☒ Correspondence address below

Name	Brian C. Rupp					
	GARDNER, CARTON & DOUGLAS					
Address	321 North Clark Street					
	Suite 3400					
City	Chicago	State	Illinois	Zip Code	60610	
Country	US	Telephone	312-644-3000	Fax	312-644-3381	

Name (Print/Type)	Brian C. Rupp	Registration No. (Attorney/Agent)	35,665
Signature		Date	11/1/01

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any  
comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office,  
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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$ ) 427

Application Number  
Filing Date  
First Named Inventor KAPLAN  
Examiner Name  
Group / Art Unit  
Attorney Docket No P1551USA

## METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

07-0181

Deposit  
Account  
Name

GARDNER, CARTON &amp; DOUGLAS

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17
- 
- ☒
- Applicant claims small entity status
- 
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
- 
- Order
- ☐
- Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$ ) 355

## 2. EXTRA CLAIM FEES

Total Claims	28	-20**	=	8	X	9	=	72
Independent Claims	2	-3**	=	0	X		=	0
Multiple Dependent					X		=	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ ) 72

\*\*or number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ ) 0

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Brian C. Rupp

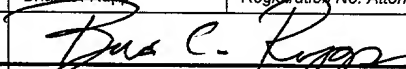
Registration No. Attorney/Agent)

35,665

Telephone

312-644-3000

Signature



Date

11/01/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**EXPRESS MAILING CERTIFICATE  
UNDER 37 C.F.R. § 1.10**

ET 289 782 828 US  
"Express Mail" Mailing Number

November 1, 2001  
Date of Deposit

I hereby certify this patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above, addressed to:

Commissioner for Patents  
Box: PATENT APPLICATIONS  
Washington, D.C. 20231

The following documents are included:

1. Transmittal Letter to the US Designated/Elected Office (2 pgs.)
2. U.S. Translation of PCT/EP00/04039 and Certificate of Translation (29 pgs.)
3. Published PCT Application – WO 00/68120
4. PCT Request Form (4 pgs.)
5. International Preliminary Examination Report (4 pgs.)
6. Declaration and Power of Attorney – German Language Declaration (3 pgs.)
7. Preliminary Amendment (7 pgs.)
8. Check No. 327949 in the amount of \$485.00 (1 pg.)
9. Recordation Form Cover Sheet for a Patent (1 pg.)
10. Assignment document (2 pgs.)
11. Check No. 327948 in the amount of \$40.00 (1 pg.)

For: DEVICE FOR DIVERTING ITEMS FROM A STREAM OF SUCH  
ITEMS

Our Reference No: P1558USA

Carol E. Arellano  
Typed name of person mailing this  
Certificate of Mailing

Carol E. Arellano  
Signature

**EXPRESS MAILING CERTIFICATE  
UNDER 37 C.F.R. § 1.10**

ET 289 782 828 US  
"Express Mail" Mailing Number

November 1, 2001  
Date of Deposit

I hereby certify this patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above, addressed to:

Commissioner for Patents  
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Washington, D.C. 20231

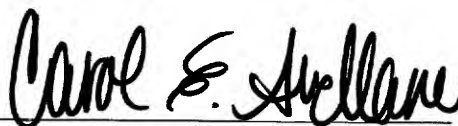
The following documents are included:

1. Utility Patent Application Transmittal Sheet (1 pg.)
2. Fee Transmittal Sheet (in duplicate)
3. Patent Specification (23 pgs.)
4. Drawing Sheets (7 pgs.)
5. A Declaration and Power of Attorney (3 pgs.)
6. Check No. 327942 in the amount of \$427.00 (1 pg.)
7. A return postcard
8. Patent Recordation Form Cover Sheet (1 pg.)
9. Assignment document (2 pgs.)
10. Check No. 327 in the amount of \$40.00 (1 pg.)

For: A METHOD AND SYSTM FOR SECURE WIRELESS DATABASE  
MANAGEMENT

Our Reference No: P1551USA

Carol E. Arellano  
Typed name of person mailing this  
Certificate of Mailing

  
Signature